



**Request for Quotation #CLC202102
Premium CT Lottery 50th Anniversary Branded T-Shirts**

July 19, 2021

Addendum 1

RFQ Revisions

- A. New Part IV “Statutory Compliance” is added to the RFQ due to the recent passage of Public Act 21-76.”
1. Gifts. Section 4-252 of the Connecticut General Statutes (C.G.S.) requires that the RFQ include a notice of the Vendor representation requirements described in that Section. Accordingly, pursuant to Section 4-252, Vendors are notified as follows:
 - a. The terms “Gift,” “Quasi-Public Agency,” “State Agency,” “Large State Contract,” “Principals and Key Personnel” and “Participated Substantially” as used in Section 4-252 section shall have the meanings set forth in Section 4-250 of the Connecticut General Statutes. “Applicable Public Official or State Employee” means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii).
 - b. The CLC shall not execute the Contract unless the Contract contains the representation described in Section 4-252(c) of the Connecticut General Statutes as follows:

Any Principal or Key Personnel of the Vendor submitting a bid or proposal for a Large State Contract shall represent:

 - (1) That no Gifts were made by (A) Vendor, (B) any Principals and Key Personnel of the Vendor, who participates substantially in preparing bids, proposals or negotiating state contracts, or (C) any agent of Vendor or any of Vendor’s Principals or Key Personnel who Participate Substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or employee of CLC who Participate Substantially in the preparation of bid solicitations or requests for proposals for state contracts or the negotiation or award of state contracts, or (ii) any public official or state employee of any other State Agency, who has supervisory or appointing authority over CLC;

(2) That no Principals or Key Personnel of Vendor, or its or their agents, know of any action by the Vendor to circumvent such prohibition on Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Vendor to make a Gift to any Applicable Public Official or State Employee; and

(3) That the Vendor made the bid or proposal for the Contract without fraud or collusion with any person.

If Vendor's Price Quote is accepted, then the following provisions, including Vendor's representation, will be incorporated into Vendor's Contract:

Pursuant to the requirements of Section 4-252 of the Connecticut General Statutes, the CLC represents that its selection of Vendor was not the result of collusion, the giving of a Gift or the promise of a Gift, compensation, fraud or inappropriate influence from any person.

Pursuant to the requirements of Section 4-252 of the Connecticut General Statutes, the Vendor, for itself and on behalf of all of its Principals and Key Personnel, represents that: (1) no Gifts were made by (A) Vendor, (B) any Principals and Key Personnel of Vendor who participate substantially in preparing bids, proposals or negotiating state contracts, or (C) any agent of Vendor or any of Vendor's Principals or Key Personnel who participate substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or employee of CLC who participates substantially in the preparation of bid solicitations or requests for proposals for state contracts or the negotiation or award of state contracts, or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over CLC; (2) no Principals or Key Personnel of Vendor, or its or their agents, know of any action by the Vendor to circumvent such prohibition on Gifts by providing for any other Principals, Key Personnel, officials, or employees of the Vendor to make a Gift to any Applicable Public Official or State Employee; and (3) the Vendor made the bid or proposal for the Contract without fraud or collusion with any person.

2. Campaign Contribution Restriction. Section 9-612 of the Connecticut General Statutes requires that the RFQ include a notice of the Vendor representation and certification requirements described in that Section. Accordingly, pursuant to the Section 9-612, Vendors are notified as follows:
 - a. The State Elections Enforcement Commission's notice advising Vendors of state campaign contribution and solicitation prohibitions is located at <https://portal.ct.gov/OPM/Fin-PSA/Forms/Ethics-Forms>.
 - b. The CLC shall not execute the Contract unless Vendor certifies that neither the Vendor, nor any of its principals, have made any contributions to, or solicited any contributions on behalf of, any party committee, exploratory committee, candidate for state-wide office or for the General Assembly, or political committee authorized to make contributions to or expenditures to or for the benefit of such candidates, in the previous four years, that were determined by the State Elections Enforcement Commission to be in violation of subparagraph (A) or (B) of subdivision (2) of

subsection (f) of section 9-612 of the Connecticut General Statutes, without mitigating circumstances having been found to exist concerning such violation.

- c. Vendor shall disclose on the certification all contributions made by any of its principals to any party committee, exploratory committee, candidate for state-wide office or for the General Assembly, or political committee authorized to make contributions to or expenditures to or for the benefit of such candidates for a period of four years prior to the signing of the contract or date of the response to the bid, whichever is longer, and certify that all such contributions have been disclosed.

Vendors must provide the certification identified in Paragraph 2b or disclose lawful campaign contributions pursuant to Paragraph 2c using OPM Form 1 – Campaign Contribution Certification included with this Addendum and also available at <https://portal.ct.gov/-/media/OPM/Fin-General/OPM-Form1-GiftandCampaignContributionCertification-Eff-July-2-2021.pdf>.

If Vendor’s Price Quote is accepted, then the following provision will be incorporated into Vendor’s Contract:

Prohibited Campaign Contributions. Pursuant to the requirements of Section 9-612 of the Connecticut General Statutes, Contractor represents that its Chief Executive Officer or authorized signatory of the Contract has received the State Elections Enforcement Commission’s notice advising state contractors of state campaign contribution and solicitation prohibitions.

3. Nondiscrimination. Sections 4a-60 and 4a-60a of the Connecticut General Statutes require that the RFQ include a nondiscrimination affirmation certifying that the Vendor understands the obligations of Sections 4a-60 and 4a-60a that require contractor to maintain a policy for the duration of the Contract to assure that the Contract will be performed in compliance with the nondiscrimination requirements of subsection (c) of 4a-60 and subsection (b) of 4a-60a. The Vendor shall demonstrate its understanding of these obligations by initialing the nondiscrimination affirmation provision in the body of the Contract.

If Vendor’s Price Quote is accepted, then the following provision will be incorporated into Vendor’s Contract:

Contractor agrees not to discriminate against any person on the basis of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, sexual orientation, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such party that such disability prevents performance of the work involved. Contractor agrees to comply with all applicable federal and state of Connecticut nondiscrimination and affirmative action laws, including, but not limited to, Sections 4a-60 and 4a-60a of the Connecticut General Statutes. Contractor understands the obligations of Sections 4a-60 and 4a-60a of the Connecticut General Statutes and will maintain a policy for the duration of the Contract to

assure that the Contract will be performed in compliance with the nondiscrimination requirements of Sections 4a-60(a) and 4a-60a(a) of the Connecticut General Statutes.

- B. The GENERAL TERMS AND CONDITIONS OF PURCHASE attached to the RFQ are replaced in their entirety with the revised GENERAL TERMS AND CONDITIONS OF PURCHASE included with this Addendum.
- C. OPM Form 1 –Campaign Contribution Certification is added to the RFQ as a required document that Vendors must sign and return with their Price Quotes.

OPM Form 1 is included with this Addendum and is also available at <https://portal.ct.gov/-/media/OPM/Fin-General/OPM-Form1-GiftandCampaignContributionCertification-Eff-July-2-2021.pdf>.

All other terms and conditions of RFP #CLC202003 not expressly amended herein shall remain in full force and effect.

Proposers should direct all questions concerning these RFQ revisions to Sharon.Zarotney@ctlottery.org.