

## **AMENDMENT OF OFFICIAL LOTTO GAMES RULES**

On March 20, 2015, the Connecticut Lottery Corporation (the "CLC") Board of Directors amended the Official Lotto Game Rules by deleting Part IV, § F(3)(b) and replacing it with the following language:

"Natural persons and legal entities issued a vendor or affiliate license by the DCP in connection with the CLC's operations, as authorized under Conn. Gen. Stat. § 12-815a, and anyone otherwise prohibited from playing CLC games by reason of any contract or agreement with the CLC."

# OFFICIAL GAME RULES

## “Lotto”

The following game rules govern the operation of the “Lotto” game. These Official Game Rules apply unless otherwise inconsistent with Connecticut constitutional provisions, statutes, regulations and rules of the Connecticut Lottery Corporation (CLC).

**PART I GENERAL.** The purpose of the “Lotto” game (“Game”) is to generate revenue for the CLC through the operation of a lottery game that will award prizes to Game Ticket holders matching specified combinations of numbers randomly-selected in regularly scheduled drawings. “Lotto” is the successor game to the “Classic Lotto” game.

### **PART II DEFINITIONS.**

- A. “Advance Action” shall mean a type of betting in which wagers may be placed for future drawings.
- B. “Annuitized Payment Option” shall mean a manner in which the Top Prize is paid in twenty-one (21) equal annual payments.
- C. “Augmentation” shall mean the procedure by which a prize pool may be increased by an amount of unclaimed lottery prizes determined at the discretion of the President. If the augmentation exceeds an amount which has been pre-approved by the Board, the President will notify the Board of same and will provide justifications for the increased use of augmentation funding.
- D. “Authorized Claim Center” shall mean a Retailer, High-Tier Claim Center or CLC Headquarters where a winning Game Ticket can be validated or cashed.
- E. “Claimant” or “Winner” shall mean any natural person or legal entity submitting a winning Game Ticket within the required prize claim period. A Claimant may be the purchaser, the natural person or legal entity named on the back of a signed Game Ticket, the bearer of an unsigned Game Ticket, or any other natural person or legal entity that may seek entitlement to a prize payment in accordance with the CLC’s constitutional provisions, statutes, regulations and rules. No Claimant may assert rights different from the rights acquired by the original purchaser at the time of purchase.
- F. “DCP” shall mean the Department of Consumer Protection of the State of Connecticut.
- G. “Drawing” shall mean the formal process of selecting winning numbers which conclusively determines the number of winners for each prize level of the game.
- H. “Unclaimed Prize Fund” shall mean the value of the prizes which remain unclaimed after the prize claim period expires.
- I. “Game Play(s)” shall mean that area of the play slip, also known as a “board” or “panel”, which contains one set of numbered squares to be marked by the player.
- J. “Game Ticket” or “Ticket” shall mean an acceptable evidence of Game Play that represents a wager accepted by the On-Line Gaming System and meeting the specifications defined in these Official Game Rules.
- K. “Lump Sum Payment Option” shall mean a manner in which the Top Prize is paid in one single cash payment.
- L. “On-Line Gaming System” shall mean the computer wagering system utilized by the CLC for the purpose of issuing and validating Game Tickets.

- M. "On-Line Game" or "Draw Game" shall mean a lottery game for which wagers are accepted by the On-Line Gaming System.
- N. "Play" or "Wager" shall mean the six (6) numbers that appear on a Game Ticket as a single-lettered play, board or panel, and are played by a player in the game.
- O. "Player" or "Purchaser" shall mean a natural person(s) or legal entity who buys Game Tickets in accordance with these Official Game Rules.
- P. "Play Slip" shall mean a card used in marking a player's Game Plays or wagers.
- Q. "Prize Fund" shall mean the portion of sales set aside for the payment of prizes.
- R. "Quick Pick" shall mean the random selection of six (6) numbers resulting in a wager accepted by the On-Line Gaming System.
- S. "Retailer" shall mean a natural person or legal entity licensed by the DCP to sell and redeem Game Tickets.
- T. "Set Prize" shall mean a pre-determined prize payout which is paid in a single lump sum cash payout.
- U. "Subscription Ticket" shall mean a ticket sold with an extended, multi-draw purchase option wherein the same set(s) of numbers may be played for a specified number of consecutive drawings. The subscription ticket shall be effective on a future date as prescribed by the governing laws, policies and rules of the CLC.
- V. "Terminal" shall mean a device including, but not limited to Player Activated Terminals (PATs), Self-Service Terminals (SSTs) and Ticket Vending Machines (TVMs), authorized to function in an on-line, interactive mode with the On-Line Gaming System for the purpose of issuing and validating lottery transactions.
- W. "Top Prize" or "Jackpot" shall mean Prize Level #1 in the game.
- X. "Winning Numbers" shall mean the six (6) numbers that are randomly selected at each drawing and used to determine the winning plays contained on a Game Ticket.
- Y. "Winning Prize Pool" shall mean the amount allocated from gross sales and/or unclaimed lottery prizes for the purpose of paying prizes.

**PART III DRAWINGS.**

- A. The CLC shall establish the drawing procedures for the Game drawings, including a drawing problem resolution mechanism. Any equipment used in a drawing shall be inspected at least annually. All drawings, including pre and post test drawings, shall be recorded.
- A. A drawing shall be conducted twice-weekly to determine the winning number combinations.
- B. The hour(s) and day(s) of the drawings shall be determined by the President.
- C. The place(s) of the drawings shall be determined by the President.
- D. The drawings shall be open to the public subject to space limitations and contractual obligations of the CLC and the DCP, and shall be witnessed by representatives from both the CLC and DCP.
- E. The format, equipment and methods used in conducting all drawings authorized by these Official Game Rules shall be specified by the CLC and approved by the DCP. Any and all disputes arising out of these drawings shall be decided by the President or an authorized designee.

#### **PART IV GAME DESCRIPTION AND CHARACTERISTICS.**

- A. Game Designation. For purposes of identification, the "Lotto" game shall have a designated game number in the On-Line Gaming System.
- B. Game Design. The Game is a single matrix game in which players select six (6) unique numbers from one (1) to forty-four (44), inclusive.
- C. Game Purchases. Game Tickets must be purchased from a terminal operated by a Retailer, a Player Activated Terminal (if available), a lottery subscription system (if available) or such other means as approved by the CLC.
- D. Ticket Format. Game Tickets shall include, but not be limited to, the player's number selections, game logo or game name, ticket cost, terminal number, barcode, quick pick (if selected), replay (if selected), serial number and drawing date. Advance Action tickets shall visibly show the beginning and ending draw dates. Game Tickets may also include the selling date, time stamp and any information pertaining to the validation of Game Tickets.
- E. Ticket Price.
  - 1. Uniform Price. Each Game Ticket shall be sold at retail for the price set by the CLC.
  - 2. Cost Per Play. Game Tickets may be purchased for \$1.00 per play, or multiples thereof, in accordance with these Official Game Rules. The player receives one play for each \$1.00 wagered.
  - 3. Advance Action. The price of an Advance Action ticket shall be \$1.00 per play for each draw. The cost of twenty-six (26) Advance Action tickets shall be \$25.00 and will include a \$1.00 discount.
  - 4. Promotions. The CLC may offer Game Tickets through promotions, including promotions that offer a discount or rebate.
  - 5. Tickets as Prizes. The CLC may offer Game Tickets as a prize in any other lottery game.
  - 6. Contribution to Prize Pool. The CLC may offer Game Tickets as a prize or as part of a promotion provided that all such Game Ticket sales are assessed and reported to the prize pool at the full gross sales amount.
- F. Play Restrictions and Play Styles.
  - 1. Ticket Sales to Minors Prohibited. Game Tickets may not be sold to or purchased by minors under the age of 18 years.
  - 2. Ticket Cashing by Minors. Game Tickets may not be cashed or redeemed by minors under the age of 18 years.
  - 3. Ineligible Players. Game Tickets shall not be purchased, and a prize won by any such Game Ticket or share, either in whole or in part, shall not be paid to:
    - a. A CLC employee, officer or board member;
    - b. Such other individuals who are prohibited by law; and
    - c. An immediate family party (parent, stepparent, child, stepchild, spouse, sibling or person engaged in a domestic partnership or civil union) of an individual described in Subsections a and b residing as a member of the same household in the principle place of residence of any such person.

4. Ticket Cancellations Prohibited. A Game Ticket shall not be cancelled or voided by returning the Game Ticket to the Retailer or to the CLC. A Game Ticket accepted by the Retailer as a returned ticket and which cannot be resold shall be deemed as owned by the bearer thereof. This prohibition also applies to a Game Ticket that may be printed in error by the Retailer. No Game Ticket, or physical portion thereof, which may be used to claim a prize, shall be returned to the CLC for credit.
5. Claims. A Game Ticket, subject to the validation requirements of the CLC shall be the only proof of a game play (or plays) and the submission of a winning Game Ticket to the CLC's Authorized Claim Center shall be the sole method of claiming a prize or prizes. A claim based upon a lost or missing Game Ticket shall not be honored.
6. Use of Play Slips. Players may submit a completed play slip to any Retailer to have issued a Game Ticket. Play slips shall be available at no cost to the Purchaser and shall have no pecuniary or prize value, or constitute evidence of purchase or number selections. The use of facsimiles of play slips, copies of play slips, or other materials that are inserted into the terminal's play slip reader that are not printed or approved by the CLC is not permitted. Retailers shall not permit any device to be connected to a terminal to enter plays, except as approved by the CLC.
7. Manual Entry. Players may convey their number selections to any Retailer for a Game Ticket. Such number selections shall be manually entered into the terminal by the Retailer.
8. Quick Pick. Players may either request a Quick Pick Game Ticket from a Retailer or may select the Quick Pick option on the play slip and submit the completed play slip to any Retailer. Quick Pick shall refer to the random selection of numbers made by the On-Line Gaming System rather than by the Player.
9. Customer Select. Players may select their own numbers by completing a play slip or by conveying their number selections directly to any Retailer. Customer Select shall refer to number selections made by the Player rather than by the On-Line Gaming System.
10. Replay Feature. Players may utilize the barcodes of already-purchased Game Tickets to duplicate another Game Ticket of the same price and with the same number selections and options. To replay, players may either make the request of a Retailer or may scan the barcode directly into a Player Activated or Self-Service Terminal if available.
11. Advance Action. Game Tickets may be purchased for future drawings.
12. Subscriptions. If available, Game Tickets may be purchased by subscription.
13. Limited to Highest Prize Won. In connection with the winning numbers drawn, the holder of a winning Game Ticket may win only one prize per Game Play and shall be entitled only to the prize won by those numbers in the highest matching prize category.
14. Prize Claim Period. The prize claim period is 180 days from the date of the drawing.
15. Player Responsibility. It shall be the sole responsibility of the player to verify the accuracy of the Game Play (or plays) and the other data printed on the Game Ticket. The placing of wagers is done at the player's own risk through the Retailer who is acting on behalf of the player in entering the play or plays.

**PART V PRIZE LIABILITY LIMITS, PRIZE PAYOUTS AND PRIZE LEVELS.** The “Lotto” game is both a pari-mutuel and set prize payout game with winning Game Tickets determined by matching six (6) unique numbers from a field of forty-four (44) numbers. The following paragraphs and chart define the prize liability limits, prize payouts, prize levels and pool percentages and chances of winning per prize level.

- A. **Prize Liability Limits.** There are four (4) prize levels in the game. Prize Levels #1 through #3 are pari-mutuel amounts; Prize Level #4 is a set payout amount.
1. **Pari-Mutuel Prizes.** The amount of money in the PARI-MUTUEL prize pools (Prize Levels #1 through #3) shall be divided among all winners in each respective pool. If there are multiple winners and when necessary, the prize value for each winner shall be rounded to the nearest whole dollar. Each winning play in the pari-mutuel prize pool shall be considered a single unit equal to one share of its respective pool.
  2. **Set Prize.** The amount of money in the SET prize pool (Prize Level #4) shall be distributed as a set prize amount in one lump sum payment to all winners of these prizes.
- B. **“Lotto” Prize Levels.**
1. **Prize Level #1 (Top Prize, Jackpot).** Winner(s) matching all six (6) of the winning numbers in any order will equally share the Prize Level #1 pool. The pool will be equal to 34.18200% of sales applicable to said drawing and/or augmented by unclaimed lottery prizes. The augmented amount may be increased or decreased at the discretion of the President. The advertised value of the prize will be the undiscounted sum of the future payments to be received in accordance with the annuitized payout option.
  2. **Prize Level #2.** Winner(s) matching five (5) of the winning numbers in any order will equally share the second-level prize pool. The pool will be equal to 6.45979% of sales applicable to said drawing and/or augmented by unclaimed lottery prizes.
  3. **Prize Level #3.** Winner(s) matching four (4) of the winning numbers in any order will equally share the third-level prize pool. The pool will be equal to 7.46913% of sales applicable to said drawing and/or augmented by unclaimed lottery prizes.
  4. **Prize Level #4.** Winner(s) matching three (3) of the winning numbers in any order will each win the fourth-level set amount prize of \$2.00. The pool will be equal to 4.78025% of sales applicable to said drawing and/or augmented by unclaimed lottery prizes.
- C. **Pool Rollovers.** In any drawing, if there are no winners in the first-level prize pool, the second-level prize pool, or the third-level prize pool, the money in that prize pool will *roll forward* and will be added to the respective prize payout pool for the succeeding drawing(s) until a winner(s) is determined.
- D. **Entitlement to Prizes by Winners.** The holder of a winning Game Ticket shall be entitled only to the prize won by matching the winning numbers in the highest matching prize category.
- E. **Payment of Prizes.** All winning Game Tickets, including the Top Prize, shall be paid in accordance with these Official Game Rules. The CLC shall withhold taxes and other required withholdings in accordance with applicable federal and state laws. To be a valid Game Ticket and eligible to receive a prize, a winning Game Ticket shall satisfy all the requirements established for the validation of winning Game Tickets sold through the CLC’s On-Line Gaming System. The CLC shall not be responsible for Game Tickets that are altered in any manner.
- F. **Payment of Pari-Mutuel Winnings.** The following rules shall determine payment of pari-mutuel winnings:
1. Pari-mutuel winning prize shares of up to and including \$100,000 will be paid in one lump sum payment. There shall be no option to select an annuitized payment for prizes up to and including \$100,000.

2. Pari-mutuel winning prize shares over \$100,000 will be paid **either** in one lump sum payment (Lump Sum Payment Option) **or** in twenty-one (21) equal annual installments. (Annuitized Payment Option). The payout selection must be made by the winner within 60 days of the winning ticket's validation date. Once made, the payment decision will be final and irrevocable. If the selection option is not exercised within 60 days of the validation date, the Lottery will issue payment as an annuity.
3. In the event of the death of a lottery winner during the annuity payment period, the estate shall receive the remaining payments.

**G. Statistical Information.** The following table details statistical information relevant to the game.

Odds of Winning, Estimated Prize Payouts and Prize Funding as a Percentage of Sales

Prize Level	Matches (of 44)	Odds of Winning <sup>1</sup>	Estimated Prize	% of Sales
1	6	7,059,052	JACKPOT <sup>1</sup>	34.18200%
2	5	30,961	\$2,000 <sup>2</sup>	6.45979%
3	4	669	\$50 <sup>2</sup>	7.46913%
4	3	42	\$2	4.78025%
			<b>Total Payout</b>	<b>52.89117%</b>

<sup>1</sup>The JACKPOT prize shall not be less than \$1,000,000 and shall be paid on a pari-mutuel basis.

<sup>2</sup> Prize amounts are based on the expected number of winners sharing the prize pool. In some cases, these prizes may be paid on a pari-mutuel basis and may be greater or lesser than those shown.

**PART VI DISPUTES.** In the event a dispute between the CLC and a Game Ticket bearer occurs as to whether the Game Ticket is a winning ticket, and if the ticket prize is not paid, the CLC may, solely at its option and discretion, reimburse the Game Ticket bearer the purchase price of the ticket. This shall be the sole and exclusive remedy to the bearer of the Game Ticket.

**PART VII APPLICABLE LAW.** In purchasing a "Lotto" Game Ticket the following provisions apply:

- A. These Official Game Rules apply to all purchases, claims and payments. In purchasing a Game Ticket, the purchaser agrees to comply with and be bound by all applicable Connecticut and federal laws, the Official Game Rules, and the CLC Rules of Operation. In the event of any inconsistency among these, the following order of precedence shall prevail in resolving such inconsistency: first, the applicable provisions of the Connecticut or federal law; second, the Official Game Rules; and, third, the CLC's Rules of Operation.
- B. In the event of any occurrence not covered by the Official Game Rules, purchasers and claimants shall abide by the decisions of the President of the CLC, who shall make such decisions in the best interests of the Lottery and the State of Connecticut.

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