



Connecticut Lottery Corporation
777 Brook Street
Rocky Hill, CT 06067

REQUEST FOR QUALIFICATIONS

RFQ Number: CLC201608
RFQ Description: Custom Plastic Fabrication & Finishing
RFQ Issue Date: November 16, 2016
Submission Due Date: January 24, 2017 by 2:00 p.m. Eastern Time

This document is subject to change. Visit www.ctlottery.org for the most current information.

Part I. INTRODUCTION

The Connecticut Lottery Corporation (“CLC” or “Lottery”) issues this Request for Qualifications (“RFQ”) for its exclusive use. The purpose of this RFQ is to select companies that specialize in custom plastic fabrication and finishing for inclusion on a list of prequalified vendors (the “Prequalified List”). The CLC will prequalify companies that, all things considered, the CLC determines to be in its best interests. The Prequalified List will have an initial term of three (3) years with the CLC’s option to extend the period up to two (2) additional years (in any combination of months or years). **A company does not need experience in fabricating lottery specific plastic products to qualify and are encouraged to respond to this RFQ.**

Once the Prequalified List is issued, the CLC may request price quote(s) (a “Product Price Quote Request” or “Product PQR”) from vendor(s) that are prequalified through this RFQ. The CLC is not committing to purchasing any plastic products at this time. Rather, the CLC may obtain products on an as-needed basis through a Product PQR (as described in Part VI, below). The CLC makes no representations about the actual quantity of products to be obtained from any particular prequalified company or that any prequalified company will receive an order from the CLC. This RFQ is merely to identify vendors for possible future plastic product purchases. However, for reference only, the CLC spent approximately \$1.01 million over the past four (4) years on custom plastic products.

The CLC, a quasi-public agency with the mission of generating revenue for the State of Connecticut’s General Fund, has delivered more than \$8.8 billion to the General Fund for valuable programs and causes. In fiscal year 2016, the CLC achieved sales of \$1.23 billion, returning a record \$337.5 million to the state’s General Fund. Players won \$760.2 million and payments to retailers were \$68.69 million. This

RFQ is issued due to the CLC’s continued growth with the launch of new games; ongoing merchandising efforts at nearly 2,900 lottery retailer locations; and the CLC’s commitment to expanding its retailer network.

To assist companies in responding fully to this RFQ, a sampling of potential plastic products the CLC is looking for or has used in the past can be found in Attachment A. **It is important to note that the basis for future Product PQRs will not be limited to the products shown in Attachment A.** Because the Lottery’s future plastic product needs are unknown at this time, any future CLC order(s) may require the parties to collaborate on product design and other specifications.

This RFQ is a solicitation for qualifications and is not a contract or offer to contract. Neither this RFQ nor any response from any vendor shall create a contract or any obligation on the CLC to award a contract. Unless and until there is a signed purchase order issued to a prequalified plastic fabrication and finishing company selected in connection with a Product PQR for a specific plastic product (the “Contract”), the CLC shall have no obligations. The Lottery does not guarantee that a prequalified company will be asked to respond to a Product PQR for a specific plastic product or that it will be awarded a Contract. The CLC reserves the right to enter into a Contract with a company not prequalified pursuant to this RFQ if the CLC, in its sole judgment, determines such Contract to be in its best interests. The CLC also reserves the right to negotiate and award Contracts to multiple companies at the same time and possibly for the same products.

Interested companies must submit qualifications and other required information (as detailed in Part IV) in accordance with this RFQ. The Lottery reserves the right, in its sole discretion, to prequalify all or some companies, not prequalify any companies, seek additional information from companies, and waive any informalities or non-material deficiencies in submissions, in each instance as the CLC determines to be in its best interests.

For information about the Lottery, its products, and its contributions to the State of Connecticut, please visit www.ctlottery.org.

Part II. SCHEDULE OF KEY EVENTS AND DEADLINES

RFQ Issued	November 16, 2016
Pre-Submission Webinar Questions Due (optional)	November 28, 2016 at 3:00 PM Eastern Time*
Mandatory Registration Pre-Submission Webinar	November 28, 2016 at 3:00 PM Eastern Time*
Mandatory Pre-Submission Webinar	November 30, 2016 at 11:00 AM Eastern Time*
CLC Posts Questions/Responses from Pre-Submission Webinar	December 22, 2016

Submission Due Date and Time	January 24, 2017 by 2:00 PM Eastern Time*
CLC Issues Prequalified List	April 10, 2017

Dates bearing an asterisk (*) are firm dates and times. All other dates are anticipated, not firm.

Part III. MANDATORY PRE-SUBMISSION WEBINAR; COMMUNICATIONS CONCERNING THIS RFQ; DUE DATE; MANNER OF MAKING SUBMISSION

A. MANDATORY PRE-SUBMISSION WEBINAR

The CLC will hold a mandatory pre-submission webinar (the “Webinar”) on November 30, 2016 at 11:00 A.M. Eastern Time. The purpose of the Webinar is to answer relevant questions that interested companies may have about this RFQ or the types of plastic products that may be the basis for future Product PQRs. In addition to the evaluation committee, CLC management may also attend this Webinar. To be considered for inclusion on the Prequalified List, a company **must** participate in this Webinar. Companies may participate by Webinar or in-person at CLC headquarters located in Rocky Hill, CT. **A company’s failure to participate in this Webinar will disqualify it from consideration for the Prequalified List.**

Companies that intend on participating in the Webinar are required to register with the Purchasing Officer via email at jessica.hayton@ctlottery.org by November 28, 2016 at 3:00 P.M. Eastern Time. The email must contain the company’s name and mailing address; contact person name and title; contact telephone number; valid email address; the name(s) and title(s) of company representatives participating in the Webinar if different from the main contact person; and participation method (i.e. webinar or in-person). The Purchasing Officer will e-mail registered companies with information about joining the Webinar.

Companies are welcome, but not required, to submit written questions in advance of the Webinar. Such questions must be submitted by e-mail directed **only** to:

Jessica Hayton (the “Purchasing Officer”)
Connecticut Lottery Corporation
777 Brook Street
Rocky Hill, CT 06067
Telephone: 860-713-2796
E-mail: jessica.hayton@ctlottery.org

The deadline to submit Webinar questions is November 28, 2016 at 3:00 P.M. Eastern Time.

B. COMMUNICATIONS CONCERNING THIS RFQ

Companies are prohibited from contacting any other Lottery employee or officer, or member of the Lottery Board of Directors, or State official or employee concerning this RFQ or the products to be provided under it. A company's failure to comply with this requirement may result in disqualification from this RFQ.

By the date and time set forth in Part II, the CLC will post all relevant questions and responses discussed at the Webinar by issuing one or more written addenda, which shall be a part of this RFQ. Addenda will be available on the Lottery's website (www.ctlottery.org, About Us, Bids) as well as the CT Department of Administrative Service's website (www.das.state.ct.us, State Contracting Portal, Current Solicitations, Organization, drop down to "Connecticut Lottery Corporation") (together, the "Websites"). **Each company is responsible for checking the Websites to determine if the CLC has issued any addenda and, if so, to complete its submission in accordance with this RFQ as modified by the addenda. Only this RFQ and the written addenda posted to the Websites, if any, may be relied upon by companies. No other communications between the CLC and companies, including oral statements made by the CLC during the Webinar, shall waive, change or otherwise modify any of the provisions of this RFQ or bind the Lottery.**

C. DUE DATE; MANNER OF MAKING SUBMISSION

The Purchasing Officer must receive responses to this RFQ on or before the Submission Due Date and Time set forth in Part II. Envelopes or packages received after this date and time (regardless of the postmark date) do **NOT** satisfy this requirement. The CLC will **NOT** accept submissions by e-mail or fax. Companies are solely responsible for ensuring timely delivery. **The CLC will reject, and may return, submissions received after the Submission Due Date and Time.**

The sealed submission must be addressed to the Purchasing Officer, must contain the company's name and address in the upper left-hand corner, and must be clearly labeled with the words "RFQ SUBMISSION ENVELOPE 1 of X, 2 of X," etc., and the RFQ description, RFQ number, and submission due date.

The CLC may reject any submission made in an unmarked package or envelope that the CLC opens in the normal course of its business. The CLC may, but shall not be required to, return such package and inform the company that it may be resubmitted as described above if there is still time remaining before the Submission Due Date and Time.

Social responsibility is a core value at the Lottery. We appreciate submissions that include recycled and/or environmentally preferable products.

Part IV. REQUIRED SUBMISSIONS

The sealed submission shall contain the original and five (5) copies of the following minimum documents/information, except that it need contain only one set of the samples described in paragraph 5 below:

- 1) The company's full business name, address of its principal place of business, and the address of the plant/warehouse most likely to manufacture any requested plastic products. Please note in your response if plastic products will not be manufactured domestically in the U.S.A.;
- 2) The full name, title, e-mail address, and phone number of the company contact for purposes of this RFQ;
- 3) A description of the company's familiarity/recent experience in any of the following areas:
 - Lottery Industry Plastic Products
 - Retail Merchandising
 - Prototype to Production
 - Custom Plastic Products
- 4) A completed Custom Plastic Fabrication & Finishing Capabilities Chart (Attachment B, two pages) detailing/describing the company's current capabilities (past three (3) years). If needed, please attach additional sheets of paper;
- 5) Companies are asked to submit up to three (3) sample plastic products, of reasonable size, assembled and finished by the company domestically that, in their opinion, best allows the CLC to evaluate the quality of the company's work and craftsmanship. Samples provided should showcase the company's capabilities. The samples submitted do not need to be an exact replica of any of the products described in Attachment A but similar items are recommended;
- 6) A complete description of all product warranties and non-yellowing warranties that the CLC would receive for any products that the CLC may potentially procure in the future pursuant to Product PQR;
- 7) A complete description of quality control measures in place to ensure the product is fulfilled correctly and quality is maintained throughout production;
- 8) A complete description of the company's order packaging abilities. The CLC has a steadfast and strong commitment to corporate social responsibility, including green initiatives. Whenever possible, the CLC would prefer that packaging materials be

environmentally friendly. At no time shall loose packing materials, such as expanded polystyrene peanuts, be used;

- 9) A description of the company's current domestic shipping policies and methods. Please indicate how shipping costs are calculated (i.e. weight, quantity, cost, etc....) and include a shipping rate sheet, if available;
- 10) A statement whether the company is actively engaged in fabricating plastic products for casinos, other lotteries, or other gaming entities;
- 11) Any other information that a company believes will further the CLC's understanding of the company's plastic fabricating capabilities;
- 12) The names and phone numbers of three current and/or former customers and the length of the relationship;
- 13) Statement that the company is currently authorized or registered, or consents to register, to do business in the State of Connecticut and has, or will have, a certificate of authority or registration to do business on file with the Connecticut Secretary of the State's Office;
- 14) A description of any known related party relationships between the company (or its owners, officers, directors or primary members) and a CLC officer, director or employee;
- 15) A list and brief description of all pending or threatened bankruptcy, reorganization, insolvency, administrative, regulatory, or other proceedings, actions or litigation involving the company;
- 16) The details of all pleas, convictions, findings or judgments against the company, its owners, officers, directors or primary members (regardless of place of employment) for any criminal offense, fraud, misrepresentation, or violation of any federal, state, or local ethics law, regulation, ordinance, code, policy or similar standard;
- 17) A statement whether, during the last five (5) years, the company, and, if applicable, its parent, any subsidiaries, or other related entities has had any contracts terminated for cause. If so, provide full details of the contract termination;
- 18) A statement whether the company, its parent, and, if applicable, its parent, any subsidiaries, or other related entities has ever been debarred or otherwise prohibited from contracting or submitting proposals or bids for contracts with: the State of Connecticut or any agency or political subdivision thereof; any municipal entity; or any other state, Native American body, or other governmental or quasi-governmental entity within the United States. If so, please fully identify the authority issuing the debarment prohibition, describe the reason(s) for the debarment/prohibition, and state the inclusive dates thereof;

- 19) A statement whether, during the last five (5) years, the company, and, if applicable, its parent, any subsidiaries, or other related entities was the subject of any order, judgment or decree of any federal, state, or municipal authority barring, suspending, or otherwise limiting the company's right to engage in any business practice or activity, or if trading in the company's stock has been suspended. If so, please provide full information, including a complete copy of such order, judgment or decree;
- 20) A copy of the company's Affirmative Action Plan and Equal Employment Opportunity policy, if required or available, as well as a copy of any of the company's current supplier diversity certifications, if any; and
- 21) A completed Vendor Information Form/Supplier Diversity Information (Attachment C, two pages).

Part V. SUBMISSION OPENING PROCESS; PREQUALIFICATION

A. SUBMISSION OPENING PROCESS

All submissions will be publicly opened on the day, and shortly after the time, due (as stated in Part II). The public may be present at the opening. The name of each company will be read aloud; no information other than company names will be released at that time.

B. PREQUALIFICATION

The CLC will notify each company whether it will be included on the Prequalified List. Prequalification means that the company may be invited to participate in a Product PQR for a period of three (3) years from the date of notice. At the end of the initial three (3) year period, the CLC, at its sole option, may extend the list of prequalified vendors for a period of two (2) additional years (in any combination of months or years).

The CLC reserves the right at any time following notice of prequalification – including but not only in connection with a Product PQR -- to require that a prequalified company update or supplement the information it previously submitted. The CLC also reserves the rights, in its sole discretion, (a) not to issue a Product PQR, and (b) if it issues a Product PQR, not to invite every prequalified company to participate in that Product PQR. Prequalification shall not preclude the CLC from subsequently concluding, based upon additional information or further analysis of information upon which prequalification was based, that a company is not qualified. **Prequalification does not provide a company with any rights and does not impose upon the CLC any obligations. A prequalified company has rights, and the CLC has obligations, only if and when there is a Contract.**

Part VI. PRODUCT PRICE QUOTE REQUEST; PROTOTYPES; PRE-PRODUCTION SAMPLES

A. PRODUCT PRICE QUOTE REQUEST OR PRODUCT PQR

As previously stated, the CLC is not committing to purchasing any plastic products at this time. Rather, the CLC will procure products if and when they are needed in the future. If the CLC is in need of plastic products at a later date, it will issue a Product PQR to vendor(s). The Product PQR will contain, among other information, the CLC's product specifications, desired quantities, and packaging preferences. The main purpose of the Product PQR is to obtain competitive pricing. Vendor(s) awarded a Product PQR will assign a product/item number to the plastic product that is the basis of the Product PQR.

Prior to a Contract, the CLC will require the successful vendor under a Product PQR to complete certain other forms and affidavits required by state law, particularly those relating to ethics in public contracting. The CLC will not enter into a Contract with a prequalified company that fails or refuses to complete and submit each required form.

The CLC will accept the response to a Product PQR that, all things considered, the CLC determines to be in its best interests.

B. PROTOTYPES

Since the Lottery's future plastic product needs are unknown at this time, the CLC may need to collaborate on the design of future plastic products with vendor(s) on the Prequalified List prior to issuing a Product PQR. In some instances, a prototype of a specific plastic product may be required. If a prototype is needed, the Lottery may contact vendor(s) on the Prequalified List for a written prototype estimate/quote. If the estimate is reasonable and approved by CLC management, the CLC will pay for the requested prototype. In all instances, the CLC expects vendor(s) to provide the most economical way to produce the prototype. Vendor(s) agrees to provide written, general, non-proprietary product specifications (e.g. dimensions, material, material thickness, etc...) to the CLC of any new prototype or design.

C. PRE-PRODUCTION SAMPLES

If requested, vendor(s) issued a Contract will provide the CLC with a pre-production sample at no additional cost to the Lottery. The vendor may not subcontract the production of the pre-production sample to any other party without the prior written consent of the CLC (excluding the procurement of components and materials). Vendor(s) must be willing to provide an unlimited number of revisions to the pre-production sample at no extra cost to the Lottery to ensure the plastic product meets CLC expectations/standards.

Part VII. GENERAL TERMS

A. RIGHT TO AMEND OR TERMINATE RFQ

The CLC may, at any time and in its sole discretion, clarify, modify, suspend, or terminate this RFQ. Any such action shall occur by a notice posted on the Websites.

Each company is responsible for checking the Websites to determine if the Lottery has issued any addenda and, if so, to complete its submission in accordance with this RFQ as modified by the addenda.

B. TAXES

Pursuant to Section 12-816 of the Connecticut General Statutes, the CLC is exempt from all state and certain federal taxation. Such taxes must NOT be included in response to any future Product PQR.

C. COSTS FOR PREPARING SUBMISSION

Each company's costs incurred in developing and submitting its submission, including product samples, are its sole responsibility, and the CLC shall have no liability for such costs.

D. OWNERSHIP OF SUBMISSIONS

All submissions, including product samples, become the CLC's property and will not be returned.

E. FREEDOM OF INFORMATION ACT, AND CONFIDENTIALITY OF INFORMATION

1. Company Confidential Information

All information submitted in response to this RFQ is subject to disclosure under the Connecticut Freedom of Information Act, as amended and judicially interpreted. A submission may contain financial, proprietary, trade secret or other data that a company claims should not be public (the "Company Confidential Information"). To protect such information from disclosure, a company must identify specifically the pages and portions of its submission that contain the claimed Company Confidential Information. Such Company Confidential Information must be identified as follows: (a) each page containing Company Confidential Information must contain a footer with the word "CONFIDENTIAL"; (b) the beginning of Company Confidential Information must be noted "CONFIDENTIAL INFORMATION BEGINS HERE," and the end of Company Confidential Information must be noted "CONFIDENTIAL INFORMATION ENDS HERE;" and (c) the company must provide a CD or other digital media containing its complete submission except for the Company Confidential Information. **If the CLC receives a request for a copy of a submission, the CLC will make a copy of that CD or other digital media and provide it to the requester without notice to the company and without review of the CD or other digital media's**

contents. Accordingly, each company is solely responsible for, and the CLC shall have no liability to a company for, the inclusion of any Company Confidential Information on the CD or other digital media or the provision of a copy of such to a third-party.

If the CLC receives a request for Company Confidential Information, it will promptly notify the company of such request and provide the company with a copy of any written disclosure request. The company may provide written consent to the disclosure, or it may object to the disclosure by notifying the CLC in writing of the basis for its objection, including the statutory, judicial or other legal exemption(s) from disclosure. The company shall indemnify, hold harmless and, upon the CLC's request but at company's sole cost and expense, defend the CLC and its directors, officers, and employees, (collectively, "Indemnified Parties"), from and against all demands, proceedings, suits, actions, appeals, losses, injuries, damages, judgments, interest, awards, penalties, fines, and costs or expenses of any kind, including, without limitation, attorney and other professional fees and disbursements, court costs, the cost of enforcing this indemnification provision, related to the nondisclosure of claimed Company Confidential Information, including but not only replying to and appearing before the Connecticut Freedom of Information Commission, and providing appropriate witnesses and documents. Provided that the company has fully complied with the requirements of and cooperates with the CLC as described in this section, the CLC shall, to the extent permitted by law, protect Company Confidential Information from unauthorized disclosure.

2. CLC Confidential Information

Each company shall protect from unauthorized use and disclosure all financial, statistical, technical, proprietary and other data related to the Lottery and its operations that the CLC makes available to the company under this RFQ or at any time following the issuance of this RFQ ("CLC Confidential Information"). A company shall not release or discuss the CLC Confidential Information with any third party without the CLC's prior express written consent in each instance. Company shall only use CLC Confidential Information for purposes of responding to this RFQ. Upon CLC's request at any time, company shall, at its own expense and as directed by the CLC, promptly return to the CLC or destroy (and certify in writing destruction of) all copies of CLC Confidential Information in company's possession or control, whether physical or electronic.

If a company receives a request for disclosure of any CLC Confidential Information (for example only, by subpoena), it shall immediately notify the CLC of such request and provide the CLC with a copy of any written request. The CLC reserves the right to object to the disclosure of said information and to notify the company to withhold disclosure of said information, identifying in such notice the basis for such objection.

Each company agrees and warrants that it shall not use CLC materials or data, including but not limited to CLC Confidential Information, in any form in connection with any other procurement effort, whether public or private. Each company shall indemnify, hold

harmless and, upon the CLC's request but at company's sole cost and expense, defend the Indemnified Parties, from and against all demands, proceedings, suits, actions, appeals, losses, injuries, damages, judgments, interest, awards, penalties, fines, and costs or expenses of any kind, including, without limitation, attorney and other professional fees and disbursements, court costs, the cost of enforcing this indemnification provision, arising from or related to any information security breach or loss of any CLC Confidential Information by such company, its employees and/or its subcontractors. In the case of an information security breach, company shall reimburse the actual costs incurred by the CLC in responding to, and mitigating damages caused by, any security breach, including, without limitation, attorney's fees and costs and litigation expenses, as well as the costs associated with (i) sending notice of the breach to CLC affected stakeholders and (ii) purchasing commercial credit monitoring services, credit protection services, credit fraud alerts, and/or similar services, for CLC affected stakeholders.

Companies responding to this RFQ agree that the indemnification and other obligations under this Section E shall survive the RFQ process and the termination or expiration of any Contract they may have with the CLC.

F. ADDITIONAL INFORMATION

The CLC reserves the right, after the Submission Due Date and Time, to ask any company to clarify its submission or to provide additional information that the CLC in its sole discretion deems desirable.

Attachment A: Sampling of CLC Plastic Products

CURRENT LOTTERY TICKET DISPENSERS

Ten Game Instant Ticket Counter Dispenser

- 5 hinged doors with 5 acrylic dividers to hold 10 instant ticket packs/books
- 5 locks
- 10 - 1.5" Velcro® loop strips
- 10 acrylic sign holder clips in front of dispenser to hold sample tickets (see No. 9, below)
- 4 clear rubber feet on bottom of dispenser
- Cut-out handle



Customer facing dispenser with
Ticker inserts in plastic sign holder clips



Back view

20 Game Instant Ticket Counter Dispenser

- 10 hinged doors with 10 acrylic dividers to hold 20 instant ticket packs/books
- 10 locks
- 20 - 1.5" Velcro® loop strips
- 20 acrylic sign holder clips in front of dispenser to hold sample tickets (see No. 9, below)
- 6 clear rubber feet on bottom of dispenser
- Cut-out handle



Back view



Side & front view with sign holder clips

Single Game Instant Ticket Wall Mount Dispenser

- Rear plate is plastic, 2 drilled holes, affixed to dispenser
- 1 Velcro® loop strip
- 1 lock



Top



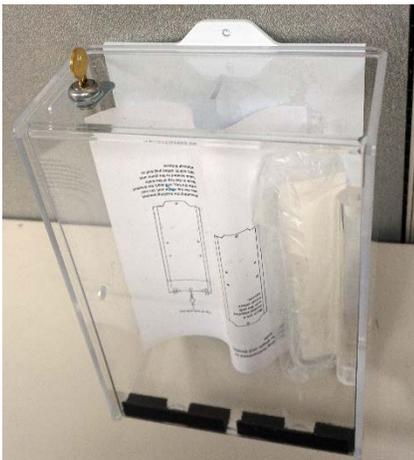
Front



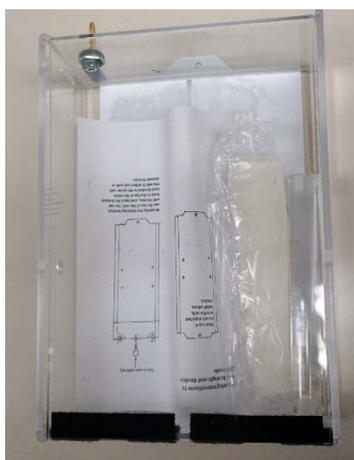
Lock

Dual Game Instant Ticket Wall Mount Dispenser

- Rear plate is plastic, 2 drilled holes, affixed to dispenser
- 2 Velcro® loop strips
- 1 lock



Top



Front



Lock

RETAIL MERCHANDISING PRODUCTS

Brochure Rack (shown with graphic wrap)

- 17 brochures pockets – various sizes
- 2 pockets for pencils



Graphic Wrap

Table Brochure Caddie

- 2 pockets
- 2 pencil pockets
- 4 imprints – 4 colors



Front View



Front View (filled)

Sign Holder

- Imprint: One – four colors, two sides



Fold Over Instant Ticket Holders

- Multiple sizes
- 2 pcs. clear High Bond Tape; precut per unit



Large



Small

Attachment B: Custom Plastic Fabrication & Finishing Capabilities Chart

Design & Prototype Capabilities	
Manufacturing Capabilities	
Joining Methods	
Edge Finishing Methods	
Decorating Capabilities (e.g. silk screen, engraving, digital printing, etc.)	

Plastic Materials Used (e.g. acrylic, high impact plastics, PVC, etc.)	
Colors Available (per above materials)	
Production Volume (e.g. small runs, large runs, etc.)	
Material Thickness Min. and Max.	
Length Min. and Max.	
Width Min. and Max	
Other	

Attachment C: Vendor Information Form
VENDOR INFORMATION FORM

Rev. 10/11

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BUSINESS INFORMATION	
Vendor Legal Business Name	
Vendor Website address	
Vendor Address	
City	State
Zip Code	
Business Telephone Number	Business Fax Number
Nature of Business (please describe):	
Business Entity Type: Corporation <input type="checkbox"/> LLC Corporation <input type="checkbox"/> LLC Partnership <input type="checkbox"/> LLC Single Member Entity <input type="checkbox"/> Non-Profit <input type="checkbox"/> Partnership <input type="checkbox"/> Individual/Sole Proprietor <input type="checkbox"/>	
NOTE: If your business is a Corporation, in which State are you Incorporated? _____ If individual/sole proprietor, individual's name (as owner) must appear in the legal business name block above. If your business is a Partnership, you must attach the names and titles of all partners to any bid submission.	
Are you a current CLC Vendor? Yes <input type="checkbox"/> No <input type="checkbox"/>	Do you hire Subcontractors? Yes <input type="checkbox"/> No <input type="checkbox"/>
Business Name, Trade Name, Doing Business As Name (if different from above)	
Remittance Address if Different from Business Address Above	
Remittance City	State
Zip Code	
CONTACT INFORMATION	
Name of Individual	Job Title
Business Telephone Number	Business Fax Number
Business Cell Phone Number	Email Address

" The Connecticut Lottery Corporation is collecting vendor and supplier information in order to compile a list of available vendors and to track its compliance with supplier diversity goals. The CT Lottery considers commercial and financial information disclosed on this form to be confidential but, unless exempted from disclosure by the Connecticut Freedom of Information Act or other applicable law, information supplied to the Connecticut Lottery Corporation may be subject to disclosure under the Connecticut Freedom of Information Act."

SUPPLIER DIVERSITY INFORMATION

Vendor Legal Business Name _____

CURRENT CERTIFICATION INFORMATION

Small Business Enterprise (SBE)	Yes _____	No _____	HUB Zone Enterprise	Yes _____	No _____
Minority Business Enterprise (MBE)	Yes _____	No _____	Disadvantaged Business Enterprise (DBE)	Yes _____	No _____
Women Business Enterprise (WBE)	Yes _____	No _____	Sheltered Workshop	Yes _____	No _____
Veteran Owned Business Enterprise	Yes _____	No _____			

NOTE: Include copies of certifications with the submission of this form for all items checked YES.

If YES, indicate which Agency/Organization has certified your business:

Department of Administrative Services (DAS) _____	Greater New England Minority Supplier Diversity Council (GNEMSDC) _____
Department of Transportation (DOT) _____	Women's Business Enterprise National Council (WBENC) _____
Small Business Administration (SBA) _____	Other: _____ Agency/Organization Name

If MBE/WBE certified, indicate Diversity Category:
(check all that apply)

Hispanic American _____	African American _____	Asian / Indian American _____
Native American / Alaskan _____	Woman Owned _____	Minority Woman Owned _____
Asian / Pacific American _____	Subcontinent Asian American _____	

FINANCIAL AND ORGANIZATIONAL INFORMATION

Please provide the Gross Annual Receipts for the last three fiscal years:

Year _____	Amount \$ _____
Fiscal Year End Date _____ MM/DD/YYYY	Year _____ Amount \$ _____
	Year _____ Amount \$ _____

Current number of full-time employees _____ Current number of part-time employees _____

CERTIFICATION

SIGNATURE OF PERSON AUTHORIZED TO SIGN PROPOSALS ON BEHALF OF THE ABOVE NAMED VENDOR	Date Executed
Type or Print Name of Authorized Person	Title of Authorized Person